

Broncus Technologies, Inc. 1400 N. Shoreline Blvd. Building A. Suite 8 Mountain View, CA 94043 www.broncus.com

(650) 428-1600 16501 428-1542 fax

> RECEIVED CENTRAL FAX CENTER

> > NOV 0 9 2004

Fax

To: U.S. Patent & Trademark Office From:

Sanjay Bagade, Reg. No. 42,280

Broncus Technologies Inc.

Fax:

(703) 872-9306

Date:

November 9, 2004

Phone:

(703) 872-9301

Pages:

13 (including fax cover sheet)

Re:

OFFICIAL FILING

Application No.: 10/079,605

Examiner: A. Roane Art Unit: 3739

In re application of: Thomas KEAST et al.

Filed: February 21, 2002

DEVICES FOR APPLYING ENERGY TO TISSUE For:

Attorney Docket No.: 435712003521

Comments:

Papers enclosed herewith:

- 1. Transmittal (1 page)
- 2. Fee Transmittal (1 page)
- 3. Petition for Extension of Time (1 page)
- 4. Amendment/Reply (9 pages)

BEST AVAILABLE COPY

Sent By: BRONCUS TECHNOLOGIES;

Nov-9-04 5:36PM;

(to be used fo	RANSMITTAL FORM reli correspondence after initial of Pages In This Submission	Application Number Filing Date First Named Inventor Art Unit Examiner Name	10/079.8 02/21/20 Thomas 3736 A. Rosne	nformation units 06 02 KEAST	ce; U.S. DEPARTMENT OF COMMERCE sa if displays a valid OMB control number.	
Amendar Amendar A Extension Express Informati Certified Documen Reply to Incompto	Missing Parts/ to Application epty to Missing Parts nder 37 CFR 1.52 or 1.53	Drawing(s) Licensing-rolated Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocat Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on C	Address CD	Aff AP	er Allowance Communication to TC peal Communication to Board Appeals and interferences peal Communication to TC peal Notice, Briaf, Reply Brief) sprietary Information stus Letter ser Enclosure(s) (please Identify ow); ever sheet (1 page)	
Firm Name	Broncus Technologies, Inc	TURE OF APPLICANT, ATTO	JANET, C	A AGENT		
Printed name Sanjay S. Baged						
Date	11/09/2004 Reg. No. 42,280		42,280			
CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature						

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benofit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the including semant of time you require to complete this form another suggestions for retucing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commercs, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FERS OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Sent By: BRONCUS TECHNOLOGIES;

SUBMITTED BY

Name (Print/Type)

Signature

Sanjay S. Bagade

Page 3

Nov-9-04 5:36PM;

(Complete (# epplicable))

Telephone 650-428-1600

Approved for use through 07/31/2005. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL 10/079,605 **Application Number** Filing Date 02/21*|*2002 for FY 2005 Thomas KEAST First Named Inventor Effective 10/01/2004. Patent less are subject to annual revision. A. Rozine Examiner Name Applicant claims small entity status. See 37 CFR 1.27 Art Unit 3736 TOTAL AMOUNT OF PAYMENT (\$) 215435712003521 Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Check Credit card Money Other 3. ADDITIONAL FEES Large Entity , Breal Entity ✓ Deposit Account: Fee Fee Description Deposit (\$) Code 502709 Fee Paid Account 2051 65 Surcharge - late filing fee or oath 1051 130 Number Deposit 1052 50 2052 25 Surcharge - late provisional filing too or Broncus Technologies, Inc. Name cover sheet 1053 130 1053 130 Non-English spécification The Director is authorized to: (check all that apply) 1812 2,520 1812 2,520 For filing a request for ex parte reexamination Charge fee(s) indicated below ✔ Credit any overpayments 1804 920 1804 Requesting publication of SIR prior to Examiner action 920* Charge any additional fee(s) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filing fee 1805 1.840 1805 1,840* Requesting publication of SIR after to the above-Identified deposit account. Examiner action 1251 110 2251 55 Extension for reply within first month FEE CALCULATION 1252 430 2252 215 Extension for raply within second month 1. BASIC FILING FEE 1253 980 2253 490 Extension for repty within third month arge Entity Small Entity Fee Paid Fee Description Fee Fee Code (\$) 1254 1.530 2254 765 Extension for reply within fourth month 1255 2,080 2255 1,040 Extension for reply within fifth month 1001 790 2001 395 Utility filing fee 1002 350 1401 340 2401 170 Notice of Appeal 2002 175 Design filing fee 170 Filling a brief in support of an appeal 1003 550 2003 275 1402 340 2402 Plant filing fee 300 2403 150 Request for oral hearing 1403 1004 790 2004 395 Reissue filing fee 1451 1,510 1451 1005 160 2005 80 Provisional filing fee 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable SUBTOTAL (1) (\$) 0 1453 1.370 2453 685 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 15D1 1,370 2501 685 Utility Issue fee (or relasue) Fee from Ext<u>ra Claim</u>s Fee Pald below 1502 490 2502 245 Design issue fee Total Claims -20** = 1503 680 2503 330 Plant Issue fee Independent - 3"" = 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1,17(q) arge Entity (Small Entity 1806 180 1806 180 Submission of Information Disclosure Stmt Fee Description 40 Recording each patent assignment per Code (\$) Code (\$) 8021 40 8021 property (times number of properties) 1202 Claims in excess of 20 18 2202 395 Filing a submission after final rejection (37 CFR 1.129(a)) 1809 790 2809 1201 88 2201 44 Independent claims in excess of 3 1203 300 2203 150 Multiple dependent claim, if not paid 1810 790 2810 395 For each additional invention to be examined (37 CFR 1.129(b)) ** Reissue independent claims 1204 88 2204 over original patent 790 2801 395 Request for Continued Exemination (RCE) 900 900 Request for expedited examination 1205 18 2205 " Reissus claims in excess of 20 1802 1802 and over original patent of a design application Other fee (specify) SUBTOTAL (2) *Reduced by Basic Filing Fee Paid or number previously paid, if greater; For Raissues, see above SUBTOTAL (3) (\$) 215

> WARNING. information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to tile (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete. including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDITESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

42280

RECEIVED **CENTRAL FAX CENTER**

NOV 0 9 2004

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on November 9, 2004 via telefacimile number (703) 872-9306.

Christopher Willink

Application No.

: 10/079,605

Confirmation No.: 7676

Applicant

Sent By: BRONCUS TECHNOLOGIES;

: Thomas KEAST et al.

Filed

: 02/21/2002

Art Unit

: 3736

Examiner

: A. Roane

Docket Number

: 435712003521

Customer Number: 36544

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

AMENDMENT

Sir:

This is in response to the Office Action mailed June 9, 2004, for which a response was due on September 9, 2004. Applicant submits a petition for a 2 month extension of time under 37 CFR 1.136(a), thereby extending the date to respond to November 9, 2004. Accordingly, this response is timely filed.

Amendments to the Claims begins on page 2

Remarks begins on page 8.

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not	ininica to the ite.	
☐ BLACK BORDERS		
☐ IMAGE CUT OFF AT TOP, BOTTOM OR	SIDES	
☐ FADED TEXT OR DRAWING		
☐ BLURRED OR ILLEGIBLE TEXT OR DR	AWING	
☐ SKEWED/SLANTED IMAGES		4 1.8424
☐ COLOR OR BLACK AND WHITE PHOTO	OGRAPHS	The second state
☐ GRAY SCALE DOCUMENTS		
LINES OR MARKS ON ORIGINAL DOC	UMENT	ED JURRES OIL MAIL
☐ REFERENCE(S) OR EXHIBIT(S) SUBMIT	TTED ARE POOR Q	UĄLITY
OTHER:		Comp.

As rescanning these documents will not correct the image ing problems checked, please do not report these problems to the IFW Image Problem Mailbox.